

FORENSIC ASSERTIVE COMMUNITY TREATMENT: UPDATING THE EVIDENCE

Joseph P. Morrissey, PhD

Forensic assertive community treatment (FACT) is 0. ACT is intended for those most-in-need people who an adaptation of the traditional assertive community ave severe mental illness, functional disabilities, and treatment (ACT) model for people with serious mentahigh rates of service use. Indeed, the main circumstance illness who are involved with the criminal justice affecting the cost-effectiveness of ACT is whether the system (Lamberti et al., 2004). ACT is a psychosociabeople served have a history of frequent psychiatric intervention that was developed for people with severek RVSLWDO XVH 3XEOLVKHG VWXGL mental illness (a subset of serious mental illness most cost-effective when people served had at least 48—marked by a higher degree of functional disability) who days of psychiatric hospitalization in the year prior KDYH VLJQL; FDQW GLI; FXOW\ to enYollnoent (LainGelf, 3990, Gilet exist) Get\ al., K2010K service needs, and repeated psychiatric hospitalization worrissey et al., 2013). (Stein & Santos, 1998).

Assertive Community Treatment

integrated dual disorders treatment and supported \$ & 7 KDV D ZHOO VSHFL; HG FOL @ Pyronent relationer of 2005 reaches between participation of the same pathway.

of a mix of disciplines, including psychiatry, nursing, addiction counseling, and vocational rehabilitation; a shared caseload among team members; direct serv provision by team members; a high frequency o consumer contact; 10/1 consumer-to-staff ratios; an 24/7 outreach in the community (Dixon et al., 2010) Fidelity scales have been developed to assess the ext to which new or established teams adhere to the model (Teague et al., 1998; Monroe-DeVita et al., 2011).

Consistent findings across studies are that ACT is effective in reducing the use and number of days of psychiatric hospitalization and in promoting housing stability.

Over the years, ACT has become a platform for

leveraging other evidence-based practices such as

FACT Adaptations

ACT has been intensively studied over the past four decades to determine whether it is effective, and if soFACT teams seek to leverage the ACT model by for whom and under what circumstances. With regard todding various practices designed (1) to interface with WKH FRQVLVWorkinginval justices processes at they seequenthaking erept DU HIIHFWLYHQHVV that ACT is effective in reducing the use and numberSRLQWV 0 X Q H W] *ULI;Q DQG of days of psychiatric hospitalization and in promoting avoid future criminal justice involvement. Examples housing stability (Latimer, 1999; Dieterich et al., 2010;of these FACT add-ons are creating teams that enroll Morrissey et al., 2013) but not consistently effective only individuals with prior arrests and jail detentions, in reducing psychiatric symptoms and arrests/jail timenaking re-arrest prevention an explicit goal for the or improving social adjustment, substance abuse, and am; accepting referrals from criminal justice agencies; quality of life (Dieterich et al., 2010; Dixon et al., recruiting criminal justice agency partners; using 2010; Bond et al., 2001; Calysn et al., 2005; Beach ourt sanctions to encourage participation; engaging al., 2013). Targeting is a big issue for ACT as it is aSUREDWLRQ DQG ODZ HQIRUFHPHQ relatively expensive intervention costing as much asf the treatment team; and adding substance abuse \$1 million per year for a team to serve a caseload ofesidential treatment units for consumers with dual

diagnoses (Lamberti et al., 2004; Morrissey et al., 2007) studies can be fully attributed to participation in FACT However, FACT continues to lack a well-validated W H D P V R U W R D K R V W R I R W K H U L Q F O L Q L F D O P R G H O W K D W L G H Q W L ; H V E R W K W K H X Q G H U O \ L Q J Q H H G of criminal justice-involved individuals and manualized Two randomized clinical trials of FACT-like interventions that can effectively address them. Moshterventions have been recently reported. Both studies FACT teams focus on diversion from local jails, but awere carried out at sites that participated in California's number also engage people with serious mental illnessentally III Offender Crime Reduction (MIOCR) after their release from state prisons.

To date, only a handful of reports about the effectiveness of FACT or FACT-like programs have been published.

FACT Evidence Base

RXWFRPHV 7KH ¿UVW VWXG\ZDV FI with individuals released from a Bay-area county jail (Chandler & Spicer, 2006). It compared integrated dual disorders treatment (IDDT) with usual care. However, only one-third of the IDDT participants received ACT; the other two-thirds received case management services. The second study compared a FACT team to usual care in a different northern California county from 2003–05 & XVDFN HW DO 7KLV WHDF

the ACT model on the Dartmouth Assertive Community

6 S L

Like other recent mental health-criminal justiceTreatment Scale.

interventions, the evidence base for FACT has lagged far behind its rate of adoption nationally (Cuddeback,7 K H ; U V W V W X G \ & K D Q G O H U et al., 2008). To date, only a handful of reports abouterrests and jail days were lower for the IDDT group but the effectiveness of FACT or FACT-like programs haveW K H \ Z H U H Q R W V L J Q L; F D Q W O \ been published. One early, randomized study from lowever, IDDT participants did experience a number 1992–94 in Philadelphia failed to show any statistically R L V L LO L; F D O W L D L O V F R W K Z L

these results.

lower likelihood of having multiple convictions, fewer
LQFDUFHUDWLRQV +HUH DJDLQ

3 R V L W L Y H ; Q G L Q J V D U H U H S R UteMpte @ d IbQ sewezaR monthodogital (Introductional) The L H V that employed pre-post designs (no control group) intervention departed in several important ways from People/subjects who completed one year of Project Linthe prevailing FACT model by not assigning all IDDT in Rochester, NY, compared to the year prior to program D U W L F L S D Q W V W R D Q \$ & 7 W H D P ID G P L V V L R Q K D G V L J Q L ; F D Q W in this souty Fwas L responsible for only phate of the DIDDT arrests, hospitalizations, and hospital days (Lamberti participants. Results were not reported separately for al., 2001). A preliminary cost analysis also found that ACT and case management participants. Further, the Project Link reduced the average yearly service cost petudy lacked comparability between IDDT and control client (Weisman et al., 2004) improvements were also groups at baseline on prior jail days and mental health noted in psychological functioning and engagement incosts as well as high attrition rates in the post period for substance abuse treatment. The second study focusted for groups (Drake et al., 2006)

on the Thresholds State-County Collaborative Jail Linkage Project in Chicago (McCoy et al, 2004) Mr. M.

Linkage Project in Chicago (McCoy et al, 2004) ter Much clearer and stronger evidence comes from the one year of participation, participants had a decrease cond study (Cusack et al., 2016): 12 months in jail days, days in the hospital, and reduced jail an collowing enrollment, FACT participants had hospital costs. However, the absence of control groups LJQL; FDQWO\IHZHU MDLO ERRNL makes it unclear whether the gains reported in these two ntacts, and fewer hospital days than did usual care

participants. FACT participants had a higher probabilityllness. These programs are not a panacea and must of avoiding jail in the post period, although once jailed be carefully targeted to those most in need. Further the number of jail days did not differ between groups.UHVHDUFK LV UHTXLUHG WR UH; QI Increased outpatient costs for FACT (resulting fromidentify interventions that can effectively address greater outpatient service use) were offset by decreased minal as well as behavioral health outcomes. As the inpatient costs. At 24 months following enrollment, the evidence base advances in these areas, FACT programs results followed a similar pattern. may become even more central to community efforts to help people with severe mental illness function in the community with minimal continued criminal justice involvement.

Failure to recognize and tailor a response to ... diverse segments of the FACT population likely contributes to the inconsistent findings in the current literature.

Directions for Further Research

Current research on FACT consists of a handful of Bond, G. R., Drake, R. E., Mueser, K. T., & Latimer, E. (2001). single-site studies with mixed results. The studies have relatively small sample sizes, variable team characteristics, and lack uniform outcome measures. \$OWKRXJK WKHUH DUH VRPH P& & H.D. WHR, RYDY, LERAND, W. Q.G. MASE, G. supporting the effectiveness of FACT, more high quality, multi-site, randomized controlled studies are QHHGHG WR FRQVROLGDWH their reproducibility across diverse communities and geographical areas.

The major obstacle to advancing this research agenda for jail recidivists with co-occurring psychiatric and continues to be the absence of a clinical model that FDUHIXOO\ VSHFL;HV WKH KHWHUHARUHHQQmHaR4X4V,4QQH4245.GV RI SHRSOH who are served by FACT teams. Many of the people uddeback, G. S., Morrissey, J. P., & Cusack, K. J. (2008). served have less psychosis and more criminogenic tendencies, whereas behaviors with psychogenic origins predominate for others (Hodgins et al., 2002). The implication is that traditional psychiatric interventions Cusack, K. J., Morrissey, J. P., Cuddeback, G. S., Prins, A., & may not work well for all FACT participants. Other cognitive behavioral and contingency management interventions may be more successful with criminal behavior. Failure to recognize and tailor a response to these diverse segments of the FACT population likely

FRQWULEXWHV WR WKH LQFRQVLVWHQW ¿QGLQJV LQ WKH FXUUHO literature.

Conclusions

)RUHQVLF DGDSWDWLRQV RI KLJK ¿GHOLW\ \$&7 SURJUDPV can improve both criminal justice and behavioral health outcomes for jail detainees with severe mental

References

Beach, C., Dykema, L. R., Appelbaum, P. S., Deng L., Leckman-Westin, E., Manuel, J. I., et al. (2013). Forensic and nonforensic clients in assertive community treatment: A longitudinal study.sPchiatric Services, 64(5),437-44.

Assertive community treatment: Critical ingredients and impact on patients. Disease Management and Health Outcomes, 9(3),141-159.

A., & Klinkenberg, W. D. (2005). Impact of assertive community treatment and client characteristics on ¿QG bri@nidaV jusbio@ GutcoMhes inGoldaP eRsQrdeiWhobMeNeVsH individuals. Criminal Behaviour and Mental Health, 15(4), 236-248.

Chandler, D. W., & Spicer, G. (2006). Integrated treatment substance abuse use disorders. Community Mental

How many forensic assertive community treatment teams do we need? Psychiatric Services, 59(2), 205-208.

Williams, D. M. (2010). Criminal justice involvement, behavioral health service use, and costs of Forensic Assertive Community Fo 0.443 Tw 15C I ingredients and cr

- Drake, R. E., Morrissey, J. P., & Mueser, K. (2006). The Morrissey, J. P., Domino, M. E., & Cuddeback, G. (2013). challenge of treating forensic dual diagnosis clients. Community Mental Health Journal, (42), 427-432.
- Hodgins, S., Hiscoke, U. L., & Freese, R. (2002). The antecedents of aggressive behavior among men withorrissey, J. P., Meyer, P., & Cuddeback, G. (2007). schizophrenia: A prospective investigation of patients in community treatment. Behavioral Sciences & the Law, 21, 523-546.
- Lamberti, J. S., Weisman, R. L., Schwarzkopf, S. B., Price, N., Ashton, R. M., & Trompeter, J. (2001). The mentally 0 X Q H W] ill in jails and prisons: Towards an integrated model of preventionPsychiatric Quarterly, 72(1), 63-77.
- 57(4), 544-549. Lamberti, J. S., Weisman, R., & Faden, D. I. (2004). Forensic assertive community treatment: Preventing incarceratio Solomon, P., & Draine, J. (1995). One-year outcomes of a of adults with severe mental illne sychiatric Services, 55(11), 1285-1293.
- Latimer, E. (1999). Economic impacts of assertive community treatment: A review of the literatur@anadian Journal of Psychiatry, 44, 443-54.
- Latimer, E. (2005). Economic considerations associated with assertive community treatment and supported employment for people with severe mental illness. Journal of Psychiatry & Neuroscience, (50), 355-359.
- McCoy, M. L., Roberts, D. L., Hanrahan, P., Clay, R., & Luchins, D. J. (2004). Jail linkage assertive community treatment services for individuals with mental illnesses. Psychiatric Rehabilitation Journal, 23), 243-250.
- Monroe-DeVita, M., Teague, G. B., & Moser, L. L. (2011). 7KH 70\$&7 \$ QHZ WRRO IRU PHDVXULQJ ¿GHOLW\ WR assertive community treatmentJournal American Psychiatric Nurses Association, 17, 17–29.

Assessing the effectiveness of recovery-oriented ACT in reducing state psychiatric hospital use. Psychiatric Services, 6(41), 303-11

Extending assertive community treatment to criminal

justice settings: Origins, current evidence, and future directions.Community Mental Health Journal, 4527-0 5 *ULI¿Q 3 \$ 8 V H Intercept Model as an approach to decriminalization of

people with serious mental illness. Psychiatric Services,

http://gainscenter.samhsa.gov